

2018 Donor and Cord Blood Selection Guidelines

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Disclosures

The following faculty and planning committee staff have the following financial disclosures:

| Name | Institution | Disclosure |
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| Bernadette Anton | NMDP/Be The Match | None |
| Jason Dehn | NMDP/Be The Match | None |
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| Bronwen Shaw | CIBMTR | None |





Objectives (part 1)

- ✓ Describe HLA typing considerations for patient and donor search
- ✓ Describe strategies to inform the search and time to transplant





History

- 2003 (Hurley et al, BBMT)
- 2008 (Bray et al, BBMT)
- 2012 (Spellman, Blood)
- 2018 (Dehn, TBD?)

A perspective on the selection of unrelated donors and cord blood units for transplantation

Stephen R. Spellman,¹ Mary Eapen,² Brent R. Logan,³ Carlheinz Mueller,⁴ Pablo Rubinstein,⁵ Michelle I. Setterholm,⁶ Ann E. Woolfrey,⁷ Mary M. Horowitz,² Dennis L. Confer,⁶ and Carolyn K. Hurley⁸

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Selection of a suitable graft for allogeneic hematopoietic stem cell transplantation involves consideration of both donor and recipient characteristics. Of primary importance is sufficient donorrecipient HLA matching to ensure engraftment and acceptable rates of GVHD. In this Perspective, the National Marrow Donor Program and the Center for International Blood and Marrow Transplant Research provide guidelines, based on large studies correlating graft character-

istics with clinical transplantation outcomes, on appropriate typing strategies and matching criteria for unrelated adult donor and cord blood graft selection. (*Blood*. 2012;120(2):259-265)

Introduction

The National Marrow Donor Program (NMDP) facilitates identification and procurement of hematopoietic stem cell grafts for transplantation. The Center for International Blood and Marrow Transplant Research (CIBMTR) is a research affiliation of the NMDP and the Medical College of Wisconsin. The guidelines herein, which update those previously published in 2003¹ and in 2008,² are based on current and relevant data supporting optimal HLA donor-recipient matching criteria and other factors affecting graft selection.

HLA matching

What literature discusses the impact of HLA on hematopoietic cell transplantation outcome?

apply. One caveat to this is that graft-versus-tumor effects that offset some of the mortality associated with GVHD after transplantation for malignancies are of no benefit when treating nonmalignant diseases.

Which is the most important outcome to consider?

The outcome of primary importance after transplantation is survival. Survival is determined by multiple factors. Pretransplantation factors include donor-recipient HLA matching, graft cell-dose (particularly for umbilical cord blood grafts), recipient cytomegalovirus seropositivity, performance score, disease, and disease status. Posttransplantation factors include acute and chronic GVHD, infections, organ toxicity, and recurrent and second malignant neoplasms. When transplantation is being considered as a treatment option, early referral for transplantation, ensuring the recipient has





Methods

- NMDP Histocompatibility Advisory Group
 - Key opinion leaders
 - Laboratory HLA science expertise
 - Clinical transplant expertise
- Focus on large registry studies and other evidence based research





HLA Typing Considerations: Patient and Donor

- Required: High resolution HLA-A, B, C, DRB1, DPB1
 - DNA based methods
- Recommended: HLA-DRB3/4/5, DQB1
 - Select among similar donors
 - Understand for HLA sensitized patient





HLA Typing Considerations: Cord Blood

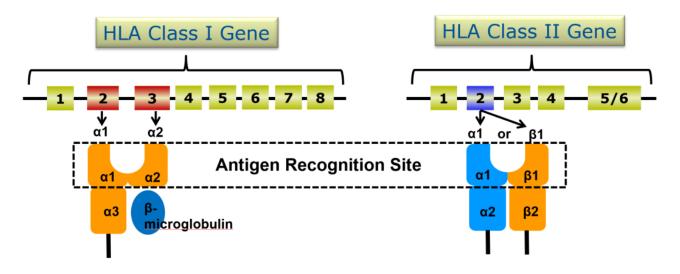
- Required: High resolution HLA-A, B, C, DRB1
 - DNA based methods
- NMDP CT pkg: includes HLA-DQB1+ DPB1





What is HR typing?

- Discriminates among protein differences
- Sequence differences inside the antigen recognition domain/site (ARD)
 - 'G' groups are identical
- Most current data suggests amino acid sequence outside the ARD does not impact allorecognition



URD Search: Considerations

- Patient Race/Ethnic group(s)
- HLA commonality
- Size of the donor population of interest
- Time to Transplant
- Acceptable stem cell products: 8/8 vs mismatched vs CBU vs Haplo





URD Search: Available Tools

- Search Prognosis Tool (http://search-prognosis.b12x.org)
 - Immediate assessment of likely outcome (8/8 or 7/8) based on patient race and HLA commonality

Individual Race Calculations

Classifier Table Information

The table below shows the search prognosis spread and scores for each available race. The Population Probability column is based on the selections above.

| Population | Population Probability | ⊕ Good | ♦ Fair | Poor | Search Prognosis |
|------------|------------------------|---------------|---------------|-------|------------------|
| CAU | 88.4% | 99.6% | 0.4% | 0% | Good |
| HIS | 8.4% | 82.2% | 16.1% | 1.7% | Good |
| AFA | 3.1% | 71% | 25.8% | 3.1% | Good |
| API | 0.1% | 37.6% | 50.8% | 11.6% | Fair |





URD Search: Available Tools

HapLogic

- Considers:
 - Patient Race/Ethnic group(s)
 - HLA commonality
 - Provides likelihood of acceptable stem cell products: 8/8 vs <7/8 vs CBU
 - Future application to all worldwide donors





URD Search: Time to Tx

- Early evaluation of case and discussion with clinical team
- Consider alternate products including concurrent searching early (URD + CB)
- Don't wait for donors to be recruited!
- Ask NMDP for help
 - Search strategy
 - FastTrack search
 - HLA typing





Objectives (part 2)

- ✓ Recognize factors which are important in the selection of unrelated donors
- ✓ Identify patient factors which may influence donor selection



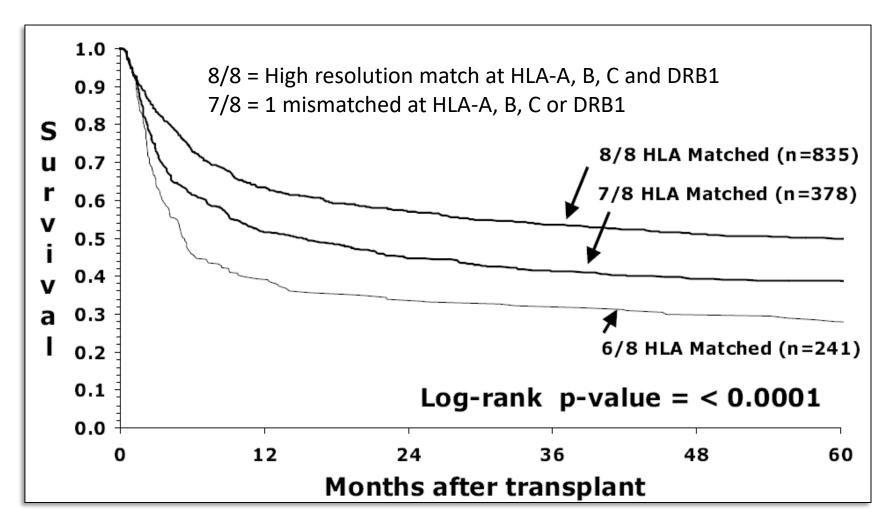


Donor Selection

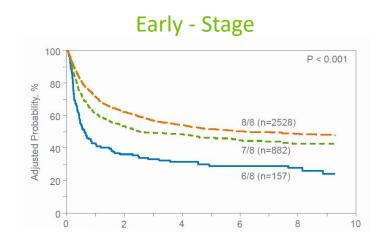
| | | Multiple HLA-A, -B, -C, -DRB1 (8/8) HLA matched unrelated donors available | 8/8 match unavailable; multiple 7/8 unrelated donors available |
|----|--|--|--|
| 1. | Resolution of typing HLA-A,-B,-C,-DRB1 | High resolution, matches for antigen recognition domains | High resolution, matches for antigen recognition domains for 7 matched alleles Select HLA-C*03:03 vs C*03:04 mismatch, if present; No other preference for mismatched loci (HLA-A/B/C/DRB1) or other allele combinations |
| 2. | Donor age | Select donors of younger age | Select donors of younger age |
| 3. | Permissive mismatching HLA- DPB1 | Select matched/permissive DPB1 mismatch based on the algorithm developed by Crivello et al ⁴⁴ (http://www.ebi.ac.uk/cgi-bin/ipd/imgt/hla/dpb_v2.cgi) | Select matched/permissive DPB1 mismatch based on the algorithm developed by Crivello et al ⁴⁴ (http://www.ebi.ac.uk/cgi-bin/ipd/imgt/hla/dpb_v2.cgi) |
| 4. | Matching HLA- DRB3/4/5, -DQB1 | Minimize mismatches at additional loci | Minimize mismatches at additional loci |
| 5. | Vector of mismatch | N/A | Select donor with single allele mismatched at patient's homozygous locus (HLA-A/B/C/DRB1), if applicable |
| | Donor-specific antibody (DSA) in patient | Avoid mismatches of allotypes targeted by DSA, including DPA1 and DQA1 | Avoid mismatches involving allotypes targeted by DSA, including DPA1 and DQA1 |

7. Transplant center practice may differ in additional considerations to use in the selection among multiple donors equivalent for the characteristics above

HLA impact on overall survival

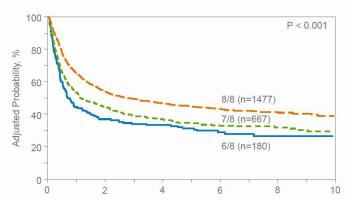


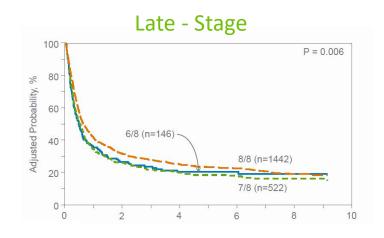
Impact of HLA Matching varies by disease stage



As before.....
benefits of HLA matching
diminish as disease
progresses

Intermediate - Stage





Pidala et al., Blood 2014

Permissive HLA mismatch

• C*03:03 vs C*03:04 mismatch (MM) DOES NOT elicit CTL responses (Oudshoorn, et. al. Human Immunology, 2002)

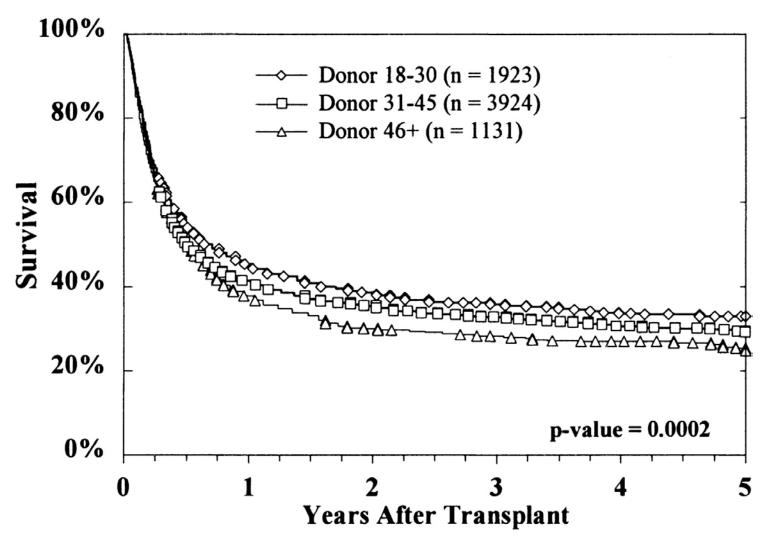
Hypotheses:

- C*03:03/03:04 is the predominant allele level MM in patients and donors with European ancestry
 - 69% of HLA-C MM in Lee, et al. Blood 2007 were C*03:03/03:04
- C*03:03/03:04 MM is well tolerated in HCT
- Other C-allele MM are as detrimental as C-antigen or HLA-A, B or DRB1 (other)
 MM

Validation: C*03:03/03:04 MM Permissive

| | Pidala Blood 2014 | Fernandez-Viña Blood 2014 |
|------------------------------------|----------------------|------------------------------|
| | p<0.01 | p<0.01 |
| Other non-C mm (N=1305) | 1.2 (1.1-1.4) | 1.30 (1.19-1.43) |
| Other C Antigen mm (N=606) | 1.4 (1.2-1.5) | 1.37 (1.24-1.51) |
| Other C allele mm (N=74) | 1.3 (1.0-1.8) | 1.43 (1.06-1.92) |
| 03:03/03:04 mm (N=86) | 1.1 (0.8-1.4) | 0.98 (0.78-1.23) |
| Matching (8/8 baseline, N=5447) | RR (95% CI) | RR (95% CI) |

Overall survival decreased with increasing donor age. This effect was highly significant.



Craig Kollman et al. Blood 2001;98:2043-2051



Donor Age: Kollman 2001

Proportional hazards regression models for grade III or IV acute graft-versus-host disease (GVHD) (n = 6978) and chronic GVHD (n = 4819 evaluable patients surviving at least 80 days)

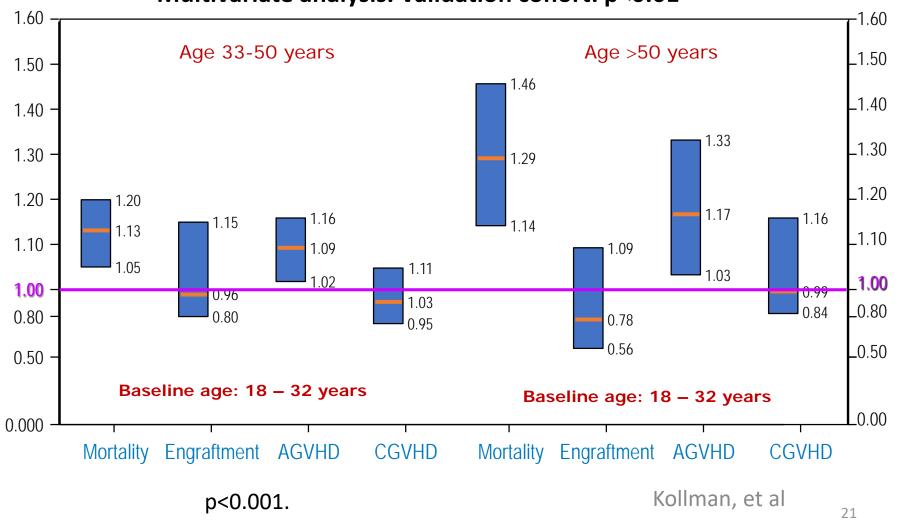
| Factor | Grade III-IV acute GVHD | | | Chronic GVHD | | | | |
|------------------------------|-------------------------|---------------|------|------------------|------|---------------|------|------------------|
| | RR | 95% CI | P | Favorable factor | RR | 95% CI | P | Favorable factor |
| Donor age (per decade) | 1.08 | 1.03- 1.14 | .002 | Younger | 1.08 | 1.02- 1.14 | .005 | Younger |

Craig Kollman et al. Blood 2001;98:2043-2051



Donor Age: Kollman 2015



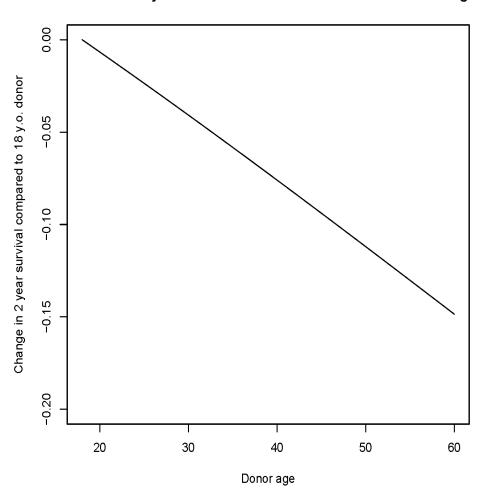


DEVELOPMENT AND VALIDATION OF A CLINICAL UNRELATED DONOR SELECTION SCORE

- Used existing validated data sets 1999-2011 (n=5952) all 8/8 matched
- Split into a training and testing cohort
- Factors which were significant in training set:
 - DPB1 TCE, Donor age, CMV match, ABO match significant for OS
- Validation failed to show same impact on OS
- Analysis redone with contemporary dataset 2012-2014 (n=4510)
 - No score validated
 - Only significant factor in training and testing set = younger donor age

DEVELOPMENT AND VALIDATION OF A CLINICAL UNRELATED DONOR SELECTION SCORE

Decrease in 2 year survival associated with increased donor age

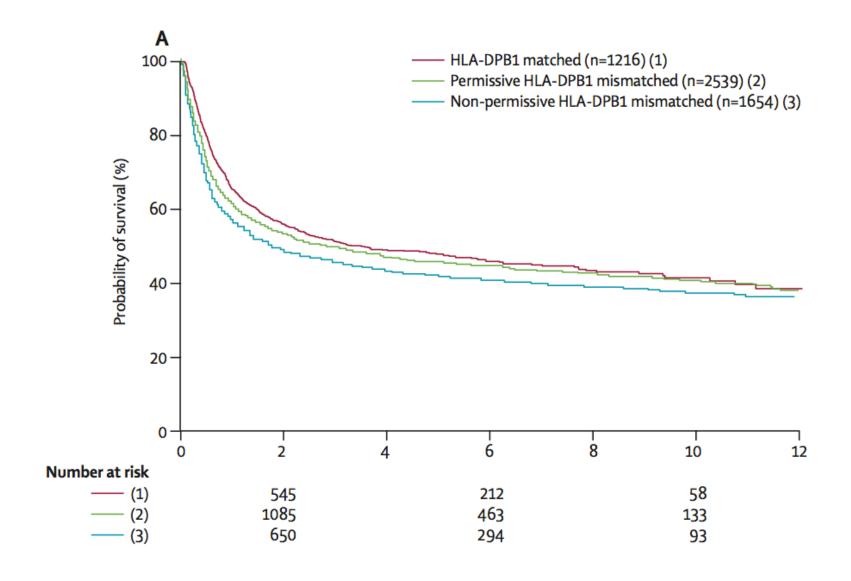


DPB1 TCE: Multivariate outcomes (10/10)

| | HLA 10/10 i | HLA 10/10 match | | | | | |
|-----------------------|------------------------------------|------------------|---------|------------------|---------|------------------------------|----------|
| | Permissive HLA-DPB1 mismatch | HLA-DPB1 match | | HLA-DPB1 | | Non-permissive H mismatch | HLA-DPB1 |
| | | HR or OR | p value | HR or OR | p value | | |
| Overall mortality | 1 (ref) | 0.96 (0.87–1.06) | 0.40 | 1.15 (1.05–1.25) | 0.002 | | |
| Non-relapse mortality | 1 (ref) | 0.86 (0.75-0.98) | 0.03 | 1.28 (1.14–1.42) | <0.0001 | | |
| Relapse* | 1 (ref) | 1.34 (1.17–1.54) | <0.0001 | 0.89 (0.77–1.02) | 0.10 | | |
| Grade 3–4 aGvHD | 1 (ref) | 0.84 (0.69–1.03) | 0.09 | 1.31 (1.11–1.54) | 0.001 | | |

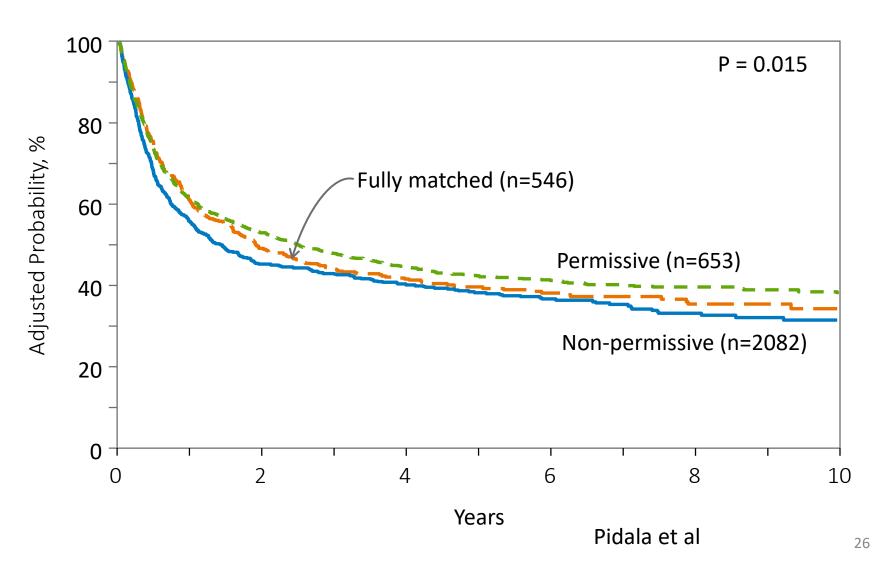


Overall survival



Fleischhauer&Shaw, 2012, Lancet Oncology

Validation: Benefit of Permissive DPB1 TCE mismatching on OS in 8/8



HLA matching: Low expression Loci

- Low expression Loci
 - HLA-DQA1, -DQB1, DPA1, -DPB1, DRB3/4/5
- 3853, 1988-2003, 30%<20, BM (95%)

- 8/8 matched: LEL mismatches not associated with any adverse outcome
- 7/8 matched: 3 or more mismatches may adversely affect clinical outcome

Vector of mismatch (GvH vs HvG)

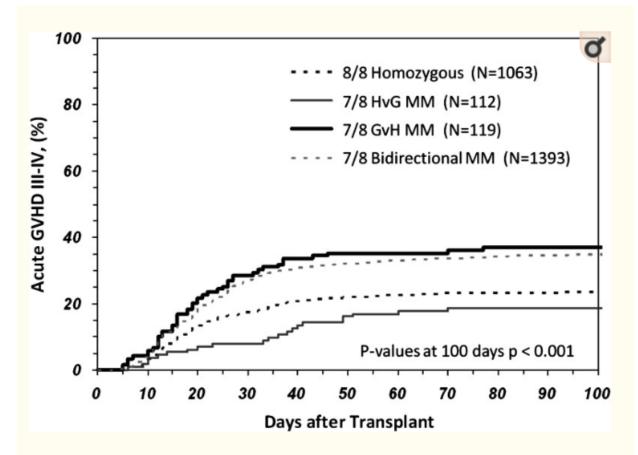


Figure 1

Cumulative incidence of acute GVHD grades III-IV during the first 100 days following a transplantation using an 8/8, 7/8 bidirectional MM, 7/8 GVH MM, or 7/8 HVG MM donor. Significant differences were observed between the 7/8 groups (P = .0001) and the 7/8 HVG group having a lower acute GVHD risk similar to the 8/8 group.

Studies of DSA impact in different settings in AHSCT

| Reference | Patients (n) | Stem cell source | Conditioning | Anti- HLA% | DSA% | Graft failure with/without DSA |
|-----------------|--------------|--------------------------|--------------|---------------|------|--------------------------------|
| Spellman et al. | 115 | Mismatched unrelated | RIC | ND | 9 | 24 versus 1% |
| Ciurea et al. | 592 | 10/10 and 9/10 unrelated | MAC or RIC | 19.6 | 1.4 | 37.5 versus 2.7% |

Table 3

Results of conditional logistic regression analysis evaluating the association of DSA directed against HLA class I and/or II and graft failure

| Odds ratio | $95\%\ confidence\ interval$ | P |
|------------|------------------------------|-------------------|
| 11.34 | 1.49-∞ | .017 |
| 12.00 | 1.46-551.97 | .014 |
| 22.84 | 3.57-∞ | <.001 |
| | 11.34 12.00 | 12.00 1.46-551.97 |

CMV serostatus: Does this affect OS?

- Three NMDP/CIBMTR studies mentioned
 - NO
- Other studies do show a difference
 - 8003 AL, CML, MDS: worst outcome in CMV R+/D-(Pidala, 2014)
 - Large EBMT study, 49542 showed: R+/ D+ had improved OS (HR, 0.92; 95% CI, .86-.98; P < .01) compared with R+/D- (Ljungman, 2014)
 - Anthony Nolan cohort (2016)
- Controversial results GVHD/Relapse

ABO Match: Does this affect OS?

- Kollman, 2001 and validation, 2015 CIBMTR:
 - NO
- Second study:
 - ~10% increase mortality with ABO mismatch
- Variable results in other studies
 - 5179, all AML or MDS, major mm = ~ 20% increase TRM (Luger, 2012)
 - 1679 lymphoma, minor mm = shorter OS
 - 8003 AL, CML, MDS, any mm = ~10% increased mortality (Pidala, 2014)
- Several other studies show no impact

Donor Selection

| | | Multiple HLA-A, -B, -C, -DRB1 (8/8) HLA matched unrelated donors available | 8/8 match unavailable; multiple 7/8 unrelated donors available |
|----|--|--|--|
| 1. | Resolution of typing HLA-A,-B,-C,-DRB1 | High resolution, matches for antigen recognition domains | High resolution, matches for antigen recognition domains for 7 matched alleles Select HLA-C*03:03 vs C*03:04 mismatch, if present; No other preference for mismatched loci (HLA-A/B/C/DRB1) or other allele combinations |
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| 5. | Vector of mismatch | N/A | Select donor with single allele mismatched at patient's homozygous locus (HLA-A/B/C/DRB1), if applicable |
| | Donor-specific antibody (DSA) in patient | Avoid mismatches of allotypes targeted by DSA, including DPA1 and DQA1 octice may differ in additional considerations to use in the selection | Avoid mismatches involving allotypes targeted by DSA, including DPA1 and DQA1 |

7. Transplant center practice may differ in additional considerations to use in the selection among multiple donors equivalent for the characteristics above

Cord Blood Selection *developed by ASBMT CB Special Interest Group*

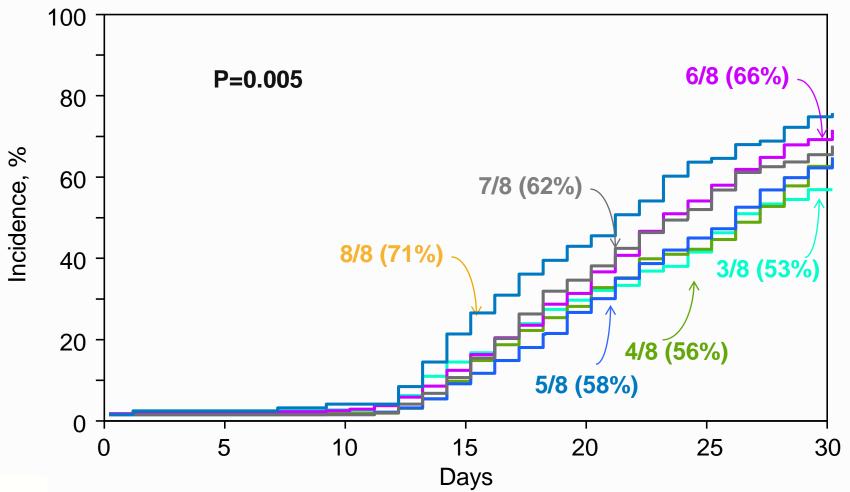
| Bank Practices | Guidelines | | |
|-----------------------------------|--|--|--|
| Attached segment identity testing | Mandatory | | |
| Bank accreditation | Should be considered | | |
| Use of RBC replete units | Not recommended | | |
| Bank location | Either domestic or international units fulfilling selection criteria | | |
| Year of cryopreservation | More recent units may be linked to optimal banking | | |
| rear of cryopreservation | practices depending on the bank | | |
| Processing/ Cryovolumes | Must be considered: automated processing with standard cryovolumes considered optimal eg 25 ml/bag (or 25 mls bag x 2 = 250) | | |

Cord Blood Selection

| HLA-match | Guidelines |
|--|--|
| Resolution of HLA-typing | Minimum of 8 allele (HLA-A,-B,-C,-DRB1) for both patient & CB |
| Donor-recipient HLA-match | \geq 4/6 HLA-A,-B antigen, -DRB1 allele (Traditional Match) & \geq 4/8 allele match (Some centers investigating use of 4/6 & 3/8 units if adequate dose) |
| Unit-unit HLA-match for DCB grafts | Not required |
| Avoidance of units against which recipient has DSA | Conflicting results in hematological malignancies; Discuss with laboratory Avoid if non-malignant diagnosis |

Malignant diseases: Neutrophil Recovery

- Allele-level Matched at A, B, C, DRB1 -

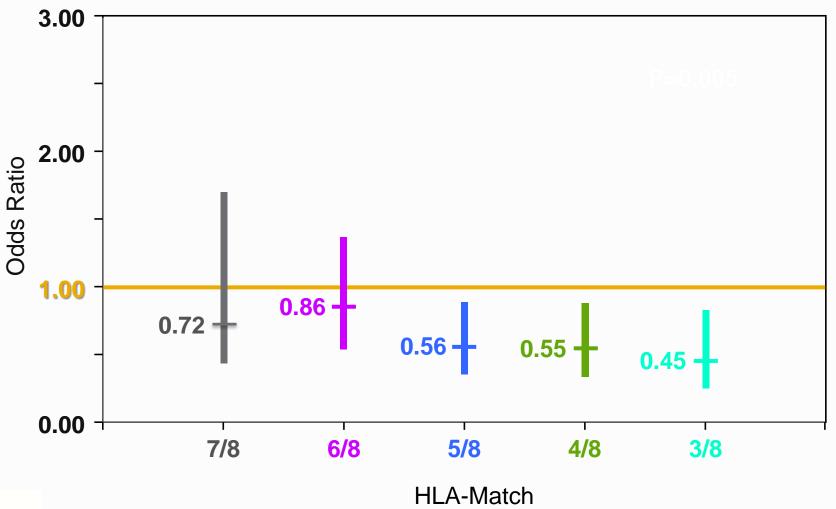






Neutrophil Recovery

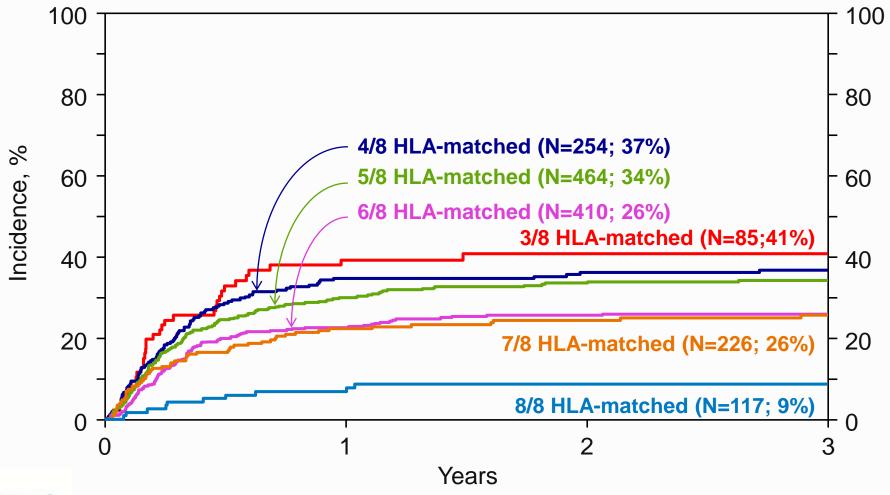
- Allele-level Matched at A, B, C, DRB1 -







Non-Relapse Mortality

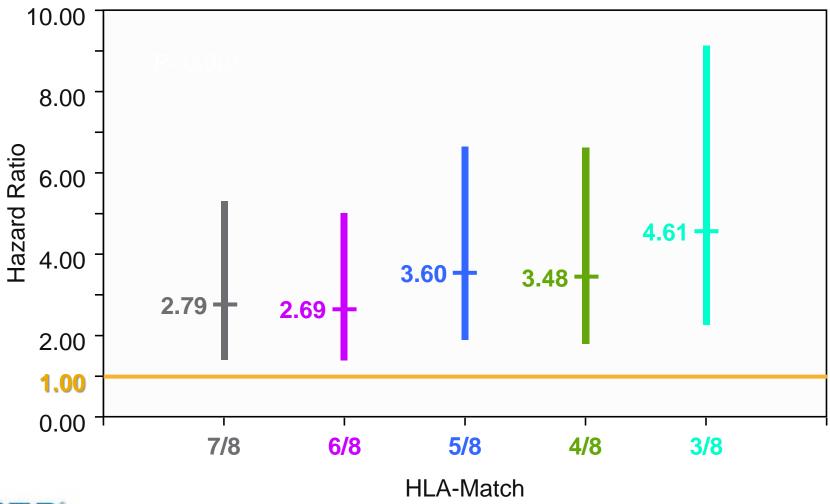






Non-Relapse Mortality

- Allele-level Matched at A, B, C, DRB1 -







Non-Relapse Mortality

- Effect of mismatch at single HLA-locus -

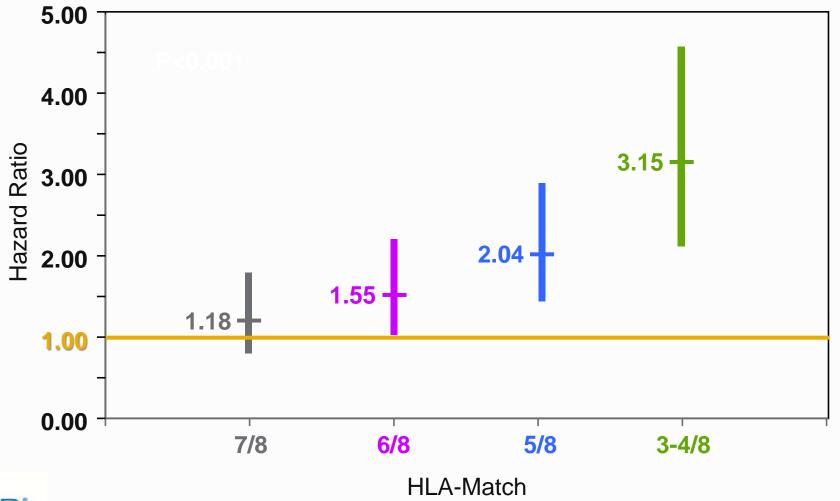
| | HR | P-value |
|---|------|---------|
| HLA-A match vs. mismatch 117 vs. 117 | 3.05 | 0.002 |
| HLA-B match vs. mismatch 31 vs. 117 | 1.26 | 0.72 |
| HLA-C match vs. mismatch 40 vs. 117 | 3.04 | 0.01 |
| HLA-DRB1 match vs. mismatch 66 vs. 117 | 2.93 | 0.005 |





Non-malignant diseases: Overall Mortality

- Allele-level Matched at A, B, C, DRB1 -







Cord Blood Selection

| Cryopreserved Cell Dose | Guidelines | | | |
|--|--|--|--|--|
| Single unit CBT: Minimum dose/ kg | TNC <u>></u> 2.5 x 10 ⁷ /kg & CD34+ cells <u>></u> 1.5 x 10 ⁵ /kg (Some centers recommend higher CD34+ dose as minimum) | | | |
| <u>Double</u> unit CBT: <u>Minimum</u> dose/ kg/ unit | TNC \geq 1.5 x $10^7/kg$ for $each$ unit & CD34+ cells \geq 1.0 x $10^5/kg$ for $each$ unit (Some centers recommend higher CD34+ doses for each unit as minimum) | | | |



CB Unit Selection

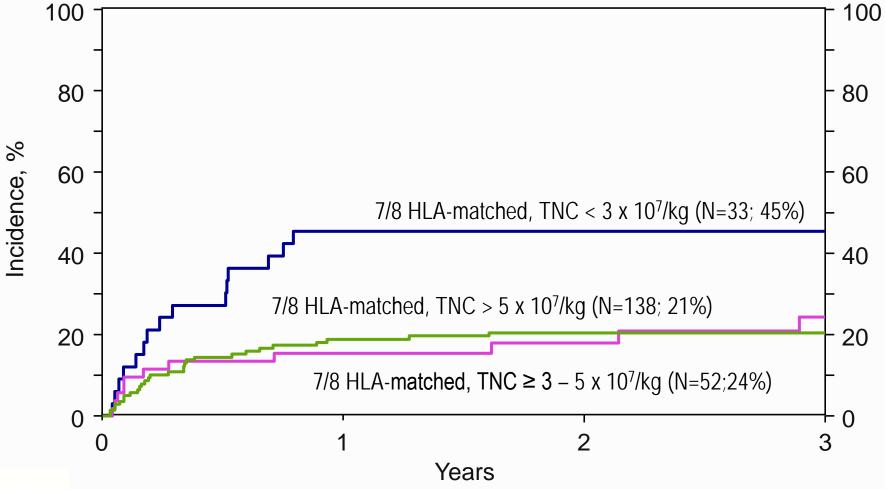


| <u>Criteria</u> | <u>Boston</u> | <u>Duke</u> | FHCRC | MDACC | MSKCC | U of MN | |
|--|-------------------------------|---|----------------------|----------------|--|--------------------------------------|--|
| Resolution of HLA-typing | 8-allele HLA-A, -B, -C, -DRB1 | | | | | | |
| Donor-recipient HLA-match | ≥ 4/6 alleles | Traditional $\geq 4/6$ & $\geq 3/8$ alleles | Traditional ≥ 4/6 | | _Traditional ≥ 4/6 & ≥ 3/8 alleles | Traditional ≥ 4/6 (& 8 allele) | |
| l dose/kg: | | TNC ≥ 2.5 | | | | TNC \geq 2.5 if \geq 5-6/6 & | |
| | Singles not done | CD34+ ≥ 1.5 | CD34+ ≥ 2 | CD34+ ≥ 1.0 | CD34+ ≥ 1.5 | 5.0 if 4/6. (CD34+ considered). | |
| <u>Cell</u> dose/kg/unit: <u>double</u> unit | TNC ≥ 1.5/ unit | TNC ≥ 1.5 / unit | | | | | |
| | | CD34+ ≥ 1.0/ unit | CD34+ ≥ 2.0/ unit | CD34+ ≥ 1.0 | CD34+ ≥ 1.0/ unit | CD34+ considered. | |

8 allele match based selection. CD34+ dose universally considered. Dose 1^{st} , match 2^{nd} . Can use highly mismatched units. Doubles in wide use for adults. Definition of adequate single unclear.

Can cell dose compensate for HLA mismatch: Non Relapse Mortality

- Total Nucleated Cell Dose -

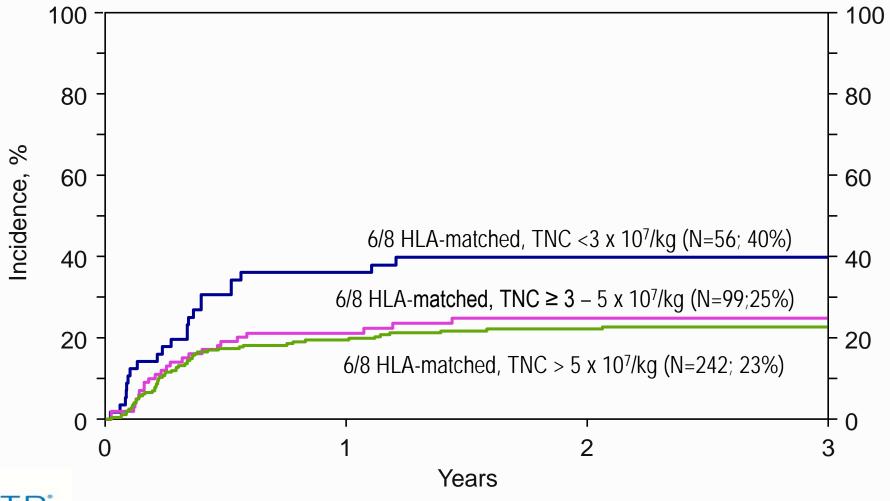






Non Relapse Mortality

- Total Nucleated Cell Dose -

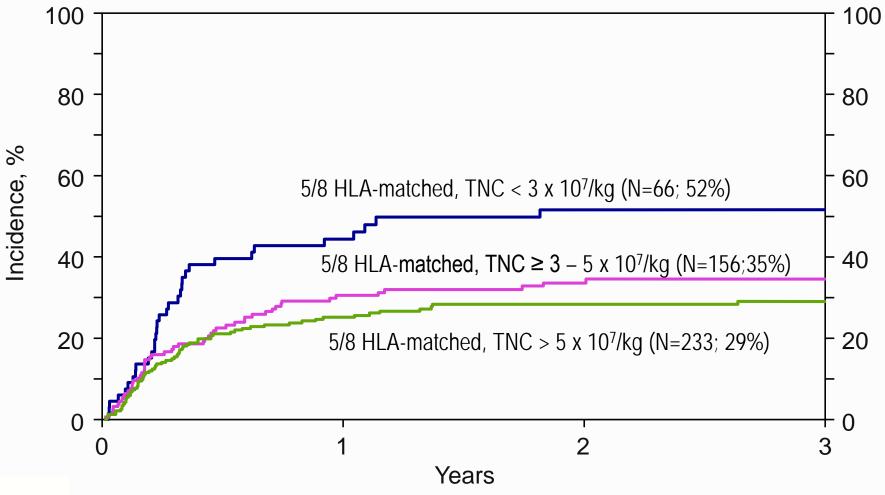






Non Relapse Mortality

- Total Nucleated Cell Dose -







Acknowledgements

- Stephen Spellman
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- Miguel Angel Perales
- Raja Rajalingam
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Thank you and questions!

